## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No.: 1175

) New Canaan, CT 06840

Applicant: LAWRENCE

Application No.: 10/775,868

Filling Date: 2/10/2004

For: INSIDER TRADING RISK MANAGEMENT

M

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir

In response to the Non-Final Office Action mailed February 25, 2008, please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 7 of this paper.